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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

|                        |                  |  |
|------------------------|------------------|--|
| Application Number     |                  |  |
| Filing Date            |                  |  |
| First Named Inventor   | William L. Keith |  |
| Art Unit               |                  |  |
| Examiner Name          |                  |  |
| Attorney Docket Number | US040061US2      |  |

## **U.S.PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       | 6188181       | B1                     | 2001-02-13 | SINHA ET AL                                     |  |

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## **U.S.PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       | 20020158591        | A1                     | 2002-10-31       | RIBARICH ET AL                                  |  |

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## **FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | 02082283                             | WO                          | A2                     | 2002-10-17       | FOSLER  |  | <input type="checkbox"/> |
|                   | 2       | 02082618                             | WO                          | A1                     | 2006-06-27       | FOSLER  |  | <input type="checkbox"/> |
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|   |  |                          |
|---|--|--------------------------|
| 1 | ALONSO ET AL, Intelligent Control System for Fluorescent Lighting Based on Lon Works Technology, Industrial Electronics Society, Proceedings of the 24th Annual conference of the IEEE Aachen, Germany 31 Aug. - 4 Sept. 1998, New York, NY. pages 92-97                               | <input type="checkbox"/> |
| 2 | ODILE RONAT, The Digital Addressable Lighting Interface (DALI): An Emerging Energy-Conserving Lighting Solution, Technical Paper, International Rectifier, <a href="http://www.irf.com/technical-info/whitepaper/tp4-9.pdf">http://www.irf.com/technical-info/whitepaper/tp4-9.pdf</a> | <input type="checkbox"/> |
| 3 | CECILIA CONTENTI ET ALL, Digitally Addressable DALI Dimming Ballast, International Rectifier, <a href="http://www.irf.com/technical-info/whitepaper/apec2002dali.pdf">http://www.irf.com/technical-info/whitepaper/apec2002dali.pdf</a>  | <input type="checkbox"/> |

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |   |                     |            |
|------------|---|---------------------|------------|
| Signature  |  | Date (YYYY-MM-DD)   | 2006-06-29 |
| Name/Print | Robert J. Kraus   | Registration Number | 26358      |

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